



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

10/643,331

08/19/2003

Scott S. McDaniel

13940US02

3987

23446 7590 03/30/2009
MCANDREWS HELD & MALLOY, LTD
500 WEST MADISON STREET
SUITE 3400
CHICAGO, IL 60661

EXAMINER

BELANI, KISHIN G

ART UNIT

PAPER NUMBER

2443

MAIL DATE

DELIVERY MODE

03/30/2009

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/643,331	Applicant(s) MCDANIEL ET AL.	
	Examiner KISHIN G. BELANI	Art Unit 2443	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 12 January 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-25 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-25 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

This action is in response to Applicant's amendment filed on 1/12/2009. **None of the claims has been amended. Claims 1-25 are now pending** in the present application. The applicants' arguments and the examiner's response to the arguments is presented in the "Response to Arguments" section in this office action. **This Action is made FINAL.**

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-3, 16 and 17 are rejected under 35 U.S.C. 102(e) as being anticipated by **Pandya (U.S. Patent Publication # 7,376,755 B2)**.

Consider **claim 1**, Pandya shows and discloses a system for transferring data over a remote direct memory access (RDMA) network (Abstract that discloses a TCP/IP processor and data processing engines with an application running on an initiator or target and registering a region of memory, which is made available to its peer(s) for

access directly without substantial host intervention through RDMA data transfer; Fig. 7 that shows the details of the system for Remote Direct Memory Access, column 11, lines 10-62 disclose the details of the system), comprising:

a host comprising a driver and a network interface card (NIC), the driver being coupled to the NIC (Fig. 7 that shows two hosts using RDMA to transfer data across a network; one of the host comprising a LAN Driver 716 coupled to a HBA/NIC with a set of NIC Buffers 705; column 11, lines 10-62 disclose the details of the system);

wherein a one-shot initiation process of an RDMA operation is performed between the driver and the NIC of the host (Fig. 35 that shows a one-shot initiation process of an RDMA operation 3501 + 3510 between the driver and the NIC of the host (a single command request for iSCSI Read using RDMA Write); and Fig. 37 that shows a corresponding one-shot initiation process of an RDMA operation 3701 (a single command request for iSCSI Write using RDMA Read); column 34, lines 19-30 and column 34, lines 65-67 thru column 35, lines 1-15 describe the details of the initiation process for read and write operations).

Consider **claim 2**, and **as it applies to claim 1 above**, Pandya shows and discloses a system wherein the driver posts a single command message to perform the one-shot initiation process (Fig. 35 that shows a command request 3510 (SCSI Read with RDMA Buffer) after registering RDMA Buffers has been performed; Fig. 37, command 3701 (SCSI Write using RDMA Read) shows another example of the driver posting a single command message to perform the one-shot initiation process for write

operation; column 34, lines 19-30 and column 34, lines 65-67 thru column 35, lines 1-15 describe the details of the initiation process for read and write operations).

Consider **claim 3**, and **as it applies to claim 2 above**, Pandya shows and discloses a system wherein the single command message comprises a command to describe pinned-down memory buffers of the host (Fig. 35, Register RDMA Buffers command request 3501 that shows pinned-down memory buffers of the host; column 34, lines 19-30 disclose the same details).

Consider **claim 16**, and **as it applies to claim 1 above**, Pandya shows and discloses the claimed system wherein the NIC comprises an RDMA-enabled NIC (Fig. 7 that shows HBA/NIC with Remote DMA (RDMA) 703 element; column 34, lines 19-30 further describe the details of the RDMA-enabled NIC).

Consider **claim 17**, Pandya shows and discloses a system for transferring data over a remote direct memory access (RDMA) network (Abstract that discloses a TCP/IP processor and data processing engines with an application running on an initiator or target and registering a region of memory, which is made available to its peer(s) for access directly without substantial host intervention through RDMA data transfer; Fig. 7 that shows the details of the system for Remote Direct Memory Access; column 11, lines 10-62 disclose the details of the system), comprising:

Art Unit: 2443

a host comprising a driver and a network interface card (NIC), the driver being coupled to the NIC (Fig. 7 that shows two hosts using RDMA to transfer data across a network; one of the host comprising a LAN Driver 716 coupled to a HBA/NIC with a set of NIC Buffers 705; column 11, lines 10-62 disclose the details of the system), wherein a one-shot completion process of an RDMA operation is performed between the driver and the NIC of the host (Fig. 35 that shows a one-shot completion process 3507-3509 of an RDMA read operation; column 34, lines 19-30 disclose the same details; Fig. 37 further shows the corresponding one-shot completion process 3710-3712 of an RDMA write operation; column 34, lines 65-67 thru column 35, lines 1-15 further disclose the details of the completion process for a write operation).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness

or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 4, 5, 10, 18, 20, 22 and 23 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Pandya (U.S. Patent Publication # 7,376,755 B2)** in view of **Tillier (U.S. Patent Publication # 6,421,742 B1)**.

Consider **claim 4**, and **as it applies to claim 3 above**, Pandya shows and discloses the claimed system, except wherein the single command message further comprises a command to bind a portion of the pinned-down memory buffers of the host to a steering tag (STag).

In the same field of endeavor, Tillier shows and discloses the claimed system wherein the single command message further comprises a command to bind a portion of the pinned-down memory buffers of the host to a steering tag (STag) (Fig. 5, map buffer block 506; column 7, lines 40-43 that disclose message parameters "block offset"

and “transfer size” which relate to the address and size of the pinned-down memory buffers of the host; Fig. 8, Pointer (interpreted by the examiner to be a steering tag (STag)) to “Data A” block that addresses Data “A” block, which is a pinned-down memory).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to provide a system wherein the single command message further comprises a command to bind a portion of the pinned-down memory buffers of the host to a steering tag (STag), as taught by Tillier, in the system of Pandya, so as to associate and locate the pinned-down memory buffers using the STag value.

Consider **claim 5**, and **as it applies to claim 4 above**, Pandya, as modified by Tillier, further shows and discloses a system wherein the single command message further comprises a command to write a send command (in Tillier reference, Fig. 2, block 201-3 which shows that I₂O remote transport sends a message (created in block 201-2) to I/O unit; flowchart of Fig. 5 that provides details; column 7, lines 24-67 and column 8, line 1 that describe the same details).

Consider **claim 10**, and **as it applies to claim 2 above**, Pandya, as modified by Tillier, further shows and discloses a system wherein the single command message provides a description of a section of memory (in Tillier reference, Fig. 1, emulation service block 103-3; Fig. 2, block 201-3 which shows that I₂O remote transport sends a single message (created in block 201-2) to I/O unit; column 6, lines 36-41 which teach

that the emulation service 103-3 processes the request for transfer of data by mapping the buffers, and decoding the parameters such as block offset and length of transfer, thereby disclosing that the message provides a description of a section of memory).

Consider **claim 18**, and **as it applies to claim 17 above**, Pandya, as modified by Tillier, further shows and discloses a system wherein the NIC receives a message comprising an optional field carrying a STag value, the STag value being associated with pinned memory in a remote host (in Tillier reference, Fig. 8, arrow marked 'Pointer to "A"' which the examiner has interpreted to be equivalent to a STag value, being received by NIC along with the "Store Data" command, the pointer value representing the address of the pinned down memory in a remote host).

Consider **claim 20**, and **as it applies to claim 18 above**, Pandya, as modified by Tillier, further shows and discloses a system wherein the NIC de-associates the STag value with the pinned memory in the host, thereby preventing further access to the pinned memory using the de-associated STag value (in Tillier reference, Fig. 6, blocks 604-606 that depict freeing up of allocated resources, corresponding to de-associating the STag value from the pinned-memory in the host, thereby preventing further access to the pinned memory using the de-associated STag value; column 8, lines 9-15 that disclose the same details).

Consider **claim 22**, and **as it applies to claim 18 above**, Pandya, as modified by Tillier, further shows and discloses a system wherein the NIC de-associates the STag value with previously associated SGL information (in Tillier reference, Fig. 6, blocks 604-606 that depict freeing up of allocated resources, corresponding to de-associating the STag value pointing to the SGL; cleanup routine of Fig. 7B; column 8, lines 9-15 that disclose the same details).

Consider **claim 23**, and **as it applies to claim 20 above**, Pandya, as modified by Tillier, further shows and discloses a system wherein the NIC frees any resources dedicated to information regarding the pinned memory (in Tillier reference, Fig. 6, blocks 604-606 that depict freeing up of allocated resources; cleanup routine of Fig. 7B; column 8, lines 9-15 that disclose NIC freeing up the allocated resources).

Claims 6-9, 11-15 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Pandya (U.S. Patent Publication # 7,376,755 B2)** in view of **Tillier (U.S. Patent Publication # 6,421,742 B1)** and further in view of **Roach et al. (U.S. Patent Publication # 6,304,910 B1)**.

Consider **claim 6**, and **as it applies to claim 4 above**, Pandya as modified by Tillier discloses the claimed system, except wherein the NIC places the STag value in an optional field in a direct data placement DDP or RDMA header.

In the same field of endeavor, Roach et al. show and disclose a system wherein the NIC places the STag value in an optional field in a direct data placement DDP or RDMA header (Fig. 8 that shows the bit-level definition of each of the two 32-bit word entry shown in Fig. 9; column 8, lines 32-67 and column 9, lines 1-18 that disclose the details of the bit-level structure including BSWA buffer pointer list entries corresponding to the STag values and BLM flag fields indicating validity of the BSWA entries).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to place the STag value in an optional field in a direct data placement DDP or RDMA header, as taught by Roach et al., in the system of Pandya, as modified by Tillier, so as to be able to handle non-contiguous data blocks during the transfer.

Consider **claim 7**, and **as it applies to claim 6 above**, Pandya as modified by Tillier, discloses the claimed system, except wherein the NIC encodes a value into a field in the DDP or RDMA header indicating that the STag value in the optional field is valid.

In the same field of endeavor, Roach et al. show and disclose a system wherein the NIC encodes a value into a field in the DDP or RDMA header indicating that the STag value in the optional field is valid (Fig. 8 that shows the bit-level definition of each of the two 32-bit word entry shown in Fig. 9; column 8, lines 32-67 and column 9, lines 1-18 that disclose the details of the bit-level structure including BSWA buffer pointer list

entries corresponding to the STag values and BLM flag fields indicating validity of the BSWA entries).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to encode a value into a field in the DDP or RDMA header indicating that the STag value in the optional field is valid, as taught by Roach et al., in the system of Pandya, as modified by Tillier, so as to be able to distinguish valid data block entries for remote transfer.

Consider **claim 8**, and **as it applies to claim 6 above**, Pandya as modified by Tillier, discloses the claimed system, except wherein the NIC sets one or more bits in a field in the DDP or RDMA header indicating that the STag value in the optional field is valid.

In the same field of endeavor, Roach et al. show and disclose a system wherein the NIC sets one or more bits in a field in the DDP or RDMA header indicating that the STag value in the optional field is valid (Fig. 8 that shows the bit-level definition of each of the two 32-bit word entry shown in Fig. 9; column 8, lines 32-67 and column 9, lines 1-18 that disclose the details of the bit-level structure including BSWA buffer pointer list entries corresponding to the STag values and BLM flag fields indicating validity of the BSWA entries).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to set a value into a field in the DDP or RDMA header indicating that the STag value in the optional field is valid, as taught by Roach et al., in

the system of Pandya, as modified by Tillier, so as to be able to distinguish valid data block entries for remote transfer.

Consider **claim 9**, and **as it applies to claim 6 above**, Pandya as modified by Tillier discloses the claimed system, except wherein the NIC sets one or more bits or encodes a value into a second field in the DDP or RDMA header to advertise the portion of the pinned memory buffers of the host associated with the STag.

In the same field of endeavor, Roach et al. show and disclose a system wherein the NIC sets one or more bits or encodes a value into a second field in the DDP or RDMA header to advertise the portion of the pinned memory buffers of the host associated with the STag (Fig. 8 that shows the bit-level definition of each of the two 32-bit word entry shown in Fig. 9; column 8, lines 32-67 and column 9, lines 1-18 that disclose the details of the bit-level structure including BSWA buffer pointer list entries corresponding to the STag values and BLM flag fields indicating validity of the BSWA entries, thereby advertising the portion of the pinned memory buffers of the host associated with the STag).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to set one or more bits or encode a value into a second field in the DDP or RDMA header to advertise the portion of the pinned memory buffers of the host associated with the STag, as taught by Roach et al., in the system of Pandya, as modified by Tillier, so as to be able to distinguish valid data block entries for remote transfer.

Consider **claim 11**, and **as it applies to claim 2 above**, Pandya as modified by Tillier, discloses the claimed system, except wherein the single command message is posted to a command ring of the host.

In the same field of endeavor, Roach et al., disclose a system wherein the single command message is posted to a command ring of the host (Fig. 5A, block 32; column 6, lines 48-64 that disclose a command ring structure as a circular queue of command entries).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to post the single command message to a command ring of the host, as taught by Roach et al., in the system of Pandya, as modified by Tillier, so as to be able to execute the commands in the FIFO order with opportunity for the non-serviced commands to get multiple turns at the service.

Consider **claim 12**, and **as it applies to claim 11 above**, Pandya as modified by Tillier and Roach et al., further discloses the claimed system, including wherein the driver allocates an STag value (in Tillier reference, Fig. 8, arrow marked 'Pointer to "A"' which the examiner has interpreted to be equivalent to a STag value, being allocated by the Input/output unit (combined driver and NIC) and received by NIC, the pointer value representing the address of the pinned down memory in a remote host).

Consider **claim 13**, and **as it applies to claim 12 above**, Pandya as modified by Tillier, discloses the claimed system, except wherein the STag value is returned synchronously from a command call.

In the same field of endeavor, Roach et al., disclose a system wherein the STag value is returned synchronously from a command call (column 6, lines 56-64 which disclose that the host driver increments the “put” pointer whenever a command is queued to the command ring, making the updated pointer (STag value) synchronously available from a call command).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to make the STag value available synchronously from a command call, as taught by Roach et al., in the system of Pandya, as modified by Tillier, so as to be able to process the transfer of data without any delay.

Consider **claim 14**, and **as it applies to claim 12 above**, Pandya as modified by Tillier discloses the claimed system, except wherein the STag value is saved in a driver command table of the host.

In the same field of endeavor, Roach et al., disclose a system wherein the STag value is saved in a driver command table of the host (Fig. 3, host memory block 42 and transfer ready queue 60; column 5, lines 44-52 that disclose a lookup field (STag value) inside each frame header includes a pointer to an associated context, and the host driver saves these fields in the host memory 42).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to save the STag value in a driver command table of the host, as taught by Roach et al., in the system of Pandya, as modified by Tillier, so as to be able to process non-contiguous data blocks during the transfer, by using the commands set up in the driver command table.

Consider **claim 15**, and **as it applies to claim 14 above**, Pandya as modified by Tillier, discloses the claimed system, except wherein the STag value saved in a driver command table is associated with an application reference number.

In the same field of endeavor, Roach et al., disclose a system wherein the STag value saved in a driver command table is associated with an application reference number (Figs. 5A and 5B; column 5, lines 47-52 which disclose that the context field associated with a pointer field (STag value) saved in a driver command table is associated with a small computer systems interface (SCSI) state information interpreted by the examiner to be associated with the application reference number).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to associate the saved STag value in a driver command table of the host with an application reference number, as taught by Roach et al., in the system of Pandya, as modified by Tillier, so as to be able to determine the application that is associated with the transfer of data.

Consider **claim 19**, and **as it applies to claim 18 above**, Pandya as modified by Tillier, discloses the claimed system, except wherein a header of the message indicates the validity of the optional field with a bit flag or specified value in an encoded field.

In the same field of endeavor, Roach et al. show and disclose a system wherein a header of the message indicates the validity of the optional field with a bit flag or specified value in an encoded field (Fig. 8 that shows the bit-level definition of each of the two 32-bit word entry shown in Fig. 9; column 8, lines 32-67 and column 9, lines 1-18 that disclose the details of the bit-level structure including BSWA buffer pointer list entries corresponding to the STag values and BLM flag fields indicating validity of the BSWA entries, thereby indicating the validity of the optional field with a bit flag or specified value in an encoded field).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to indicate the validity of the optional field with a bit flag or specified value in an encoded field, as taught by Roach et al., in the system of Pandya, as modified by Tillier, so as to be able to distinguish valid data block entries for remote transfer.

Claims 24 and 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Pandya (U.S. Patent Publication # 7,376,755 B2)** in view of **Roach et al. (U.S. Patent Publication # 6,304,910 B1)**.

Consider **claim 24**, Pandya shows and discloses a method for transferring data over an RDMA network (Abstract that discloses a TCP/IP processor and data processing engines with an application running on an initiator or target and registering a region of memory, which is made available to its peer(s) for access directly without substantial host intervention through RDMA data transfer; Fig. 7 that shows the details of the method for Remote Direct Memory Access; column 11, lines 10-62 disclose the details of the method), comprising:

initiating an RDMA write operation using a one-shot initiation process between a driver and a NIC of a host (Fig. 37 that shows a one-shot initiation process between a driver and a NIC of a host for an RDMA write operation 3701 (a single command request for iSCSI Write using RDMA Read); column 34, lines 65-67 thru column 35, lines 1-15 describe the details of the initiation process for an RDMA write operation).

However, Pandya does not show and disclose inserting an STag value in a first field of a DDP or RDMA header of an RDMA send message; and validating the STag value in the first field with a bit flag or other specified value in a second field of the DDP or RDMA header.

In the same field of endeavor, Roach et al. show and disclose inserting an STag value in a first field of a DDP or RDMA header of an RDMA send message (Fig. 8 that shows the bit-level definition of each of the two 32-bit word entry shown in Fig. 9; column 8, lines 32-67 and column 9, lines 1-18 that disclose the details of the bit-level structure including BSWA buffer pointer list entries corresponding to the STag values); and validating the STag value in the first field with a bit flag or other specified value in a

second field of the DDP or RDMA header (Fig. 8 that shows the bit-level definition of each of the two 32-bit word entry shown in Fig. 9; column 8, lines 32-67 and column 9, lines 1-18 that disclose the details of the bit-level structure including BLM flag fields indicating validity of the BSWA entries).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to place the STag value in an optional field in a direct data placement DDP or RDMA header, and set one or more bits or encode a value into a second field in the DDP or RDMA header, as taught by Roach et al., in the method of Pandya, so as to be able to handle non-contiguous data blocks during the transfer and distinguish valid data block entries for remote transfer.

Consider **claim 25**, Pandya shows and discloses a method for transferring data over an RDMA network (Abstract that discloses a TCP/IP processor and data processing engines with an application running on an initiator or target and registering a region of memory, which is made available to its peer(s) for access directly without substantial host intervention through RDMA data transfer; Fig. 7 that shows the details of the method for Remote Direct Memory Access; column 11, lines 10-62 disclose the details of the method), comprising:

completing an RDMA write operation using a one-shot completion process between a NIC and a driver of a host; and receiving a completion message (Fig. 37 that shows a one-shot completion process 3710-3712 between a NIC and a driver of a host for an RDMA write operation, including receiving a completion message; column 34, lines 65-

67 thru column 35, lines 1-15 further disclose the details of the completion process for a write operation).

However, Pandya does not show and disclose identifying a STag value in a first field of a header of the completion message; and validating the STag value in the first field of the header by identifying a bit flag or other specified value in a second field of the header.

In the same field of endeavor, Roach et al. show and disclose identifying a STag value in a first field of a header of the completion message (Fig. 8 that shows the bit-level definition of each of the two 32-bit word entry shown in Fig. 9; column 8, lines 32-67 and column 9, lines 1-18 that disclose the details of the bit-level structure including BSWA buffer pointer list entries corresponding to the STag values); and validating the STag value in the first field of the header by identifying a bit flag or other specified value in a second field of the header (Fig. 8 that shows the bit-level definition of each of the two 32-bit word entry shown in Fig. 9; column 8, lines 32-67 and column 9, lines 1-18 that disclose the details of the bit-level structure including BLM flag fields indicating validity of the BSWA entries).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to identify a STag value in a first field of a header of the completion message, and validate the STag value in the first field of the header by identifying a bit flag or other specified value in a second field of the header, as taught by Roach et al., in the method of Pandya, so as to be able to handle non-contiguous data blocks during the transfer and distinguish valid data block entries for remote transfer.

Claim 21 is rejected under 35 U.S.C. 103(a) as being unpatentable over **Pandya (U.S. Patent Publication # 7,376,755 B2)** in view of **Tillier (U.S. Patent Publication # 6,421,742 B1)** and further in view of **Futral et al. (U.S. Patent Publication # 5,991,797)**.

Consider **claim 21**, and **as it applies to claim 18 above**, Pandya, as modified by Tillier, discloses the claimed system, except wherein the NIC delivers the message to the driver, and wherein the driver compares the STag value received with a STag value previously sent.

In the same field of endeavor, Futral et al. show and disclose a system wherein the NIC delivers the message to the driver, and wherein the driver compares the STag value received with a STag value previously sent (Fig. 4, SGL word 2 (chain pointer) that provides address to additional transaction detail element list, when the buffers are scattered across multiple locations, therefore requiring an appended list. In such cases, the driver compares the SGL address received with a prior value to ensure that the same list is being processed with additional buffer addresses in the appended chain list; column 6, lines 36-46 discloses the same details).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to provide capability in the driver to compare the STag value received with a STag value previously sent, as taught by Futral et al., in the

system of Pandya, as modified by Tillier, so as to be able to handle multiple non-contiguous data blocks during the transfer.

Response to Arguments

Applicants' arguments filed 01/12/2009 have been fully considered but they are not persuasive. The examiner has reviewed the cited prior art used in the non-final office action of 07/14/2008, and has concluded that the references used do adequately teach and support the rejection of each and every claim element presented, and therefore has maintained the rejection of claims 1-25. The examiner's response to the applicants' arguments is presented below:

Consider **independent claims 1 and 17**. The applicants have argued that the cited reference of Pandya (US Patent Publication 7,376,755 B2) discloses storage read flow (Figure 35 and supporting disclosure) and storage write flow (Figure 37 and supporting disclosure) **between an initiator and a target**, and not a driver and a NIC of a host. The examiner respectfully disagrees with this argument. The initiator and the target are the two hosts that are remotely connected by a network, each corresponding to a host at NIC 1 and NIC 2 shown in Fig. 4 of the applicants' drawings. The process of initiation of RDMA data transfer from one host to another is not the same as the initiator referenced above, which is one of the hosts. The RDMA data transfer request starts at the User Applications 713 (in Fig. 7 of the Pandya reference), wherein a user at the left host requests that a remote file at the right host be transferred across the network, and stored in the pre-allocated buffers 701. This request to the operating

system 715 initiates an interaction between the LAN Driver 716 and HBA/NIC of the left host in Fig. 7. The LAN driver registers memory region Remote DMA 703 and the NIC allocates NIC Buffers 705 in order to prepare for the remote file transfer. After the buffers are allocated, a read command may be set up by the LAN Driver 716 to start the RDMA read operation. The preparatory process of allocating buffers in the LAN Driver and the NIC in response to a user request, followed by issuance of a read operation is the one-shot initiation process of an RDMA operation between the driver and the NIC of the left host, as stated in claims 1 and 17.

The applicants further argue that the Pandya reference clearly cannot support a one-shot initiation process because “the initiator and target register the RDMA buffers before initiating the RDMA transfer....”. Further arguing that first the buffers are registered, then advertised to their peers, and finally a read or a write command is issued; clearly, the multiple steps disclosed in Pandya fail to disclose a “one-shot initiation process”, as set forth in claim 1. The examiner begs to differ with this assessment. A one-shot process may not necessarily be limited to a single step, but may involve a series of steps, unless the applicants specifically define what they mean by a one-shot initiation process. In fact, the examiner had requested in his first non-final office action dated 06/27/2007 that the applicants clearly state what was meant by one-shot initiation process, but no such definition was included in the subsequent amendments. The examiner has thus interpreted “one-shot initiation process” to include multiple steps, as outlined in the Pandya reference, and therefore considers

independent claims 1 and 17 and all their dependent claims 2-16 and 18-23 to be not allowable in their present form.

As to the applicants' argument that the Pandya reference does not explain steps 3507-3509 and 3710-3712 of Figs. 35 and 37 respectively, the examiner considers these steps to be self-explanatory.

Next consider the **dependent claims 2 and 3**. The applicants argue that the Pandya reference teaches multiple commands versus a single command message disclosed in these claims. The examiner would like to clarify a distinction between a step in a process and issuance of a command. In Fig. 35 of the Pandya reference, 3501 is a step in a process and 3510 is a command being issued. Likewise, in Fig. 37 of the Pandya reference, 3701 is a command being issued. As such, there are no multiple commands. The examiner therefore maintains his rejection of the dependent claims 2 and 3.

No new arguments are presented for any of the remaining claims. Therefore all claims, including independent claims 24 and 25 are still considered not allowable in their present form, and remain rejected.

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within

Art Unit: 2443

TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any response to this Office Action should be **faxed to (571) 273-8300 or mailed to:**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Art Unit: 2443

Hand-delivered responses should be brought to

Customer Service Window
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Kishin G. Belani whose telephone number is (571) 270-1768. The Examiner can normally be reached on Monday-Friday from 6:00 am to 5:00 pm.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Tonia Dollinger can be reached on (571) 272-4170. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free) or 703-305-3028.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist/customer service whose telephone number is (571) 272-0800.

/K. G. B./
Examiner, Art Unit 2443

March 17, 2009

/George C Neurauter, Jr./
Primary Examiner, Art Unit 2443